



Compliance and Governance Oversight Analyst – Maternity Cover

Location: Chichester

We have an exciting opportunity for a Compliance and Governance Oversight Analyst to join our Tutman team to cover a period of maternity leave for up to 12 months.

Job Description:

The primary function of the Compliance and Governance Oversight (CGO) team is to oversee the compliance of Tutman's delegates through their operation of effective systems and controls, in conjunction with the wider Tutman team and other group functions (e.g. CASS, Finance and Group Compliance and Risk). This oversight takes place through our robust initial and ongoing due diligence and breach management process.

Responsibilities will include:

- Performing compliance reviews and monitoring;
- Performing governance and regulatory reporting;
- Participating in audit reviews performed by third parties; and
- Attending internal and external meetings to provide advice, guidance and challenge as appropriate.

Candidates must have previous financial services experience from working in an investment management environment and have a good understanding of FCA regulatory requirements. Experience of working for an Authorised Fund Manager or delegate (e.g. Transfer Agent or Fund Administrator) would be an advantage.

It is essential that candidates have exceptional communication skills (to interpret and explain complicated matters in plain language), a straightforward approach and are able to build good rapport with internal and external stakeholders.

An industry qualification would also be desirable (e.g. Investment Operations Certificate).

If you are interested in this position, or would like any further information, please contact HR by email at: careers@thesisam.com.

Closing date for applications: 9th July 2020